

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Ram B. Gupta et al.

Application No.: 09/698,368

Filed: October 30, 2000

For: NON-YELLOWING ORTHO-DIALKYL
ARYL SUBSTITUTED TRIAZINE
ULTRAVIOLET LIGHT ABSORBERS

§
§
§
§
§
§
§
§
§

Group Art Unit: 1714

Examiner: Not Yet Known

Docket: 00014-00 (318-332)

Date: March 14, 2002

#8/p2
6/10/02

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.56 AND § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

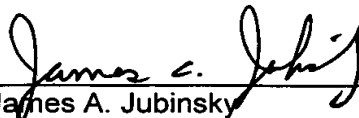
In accordance with the continuing duty of disclosure imposed by 37 CFR § 1.56 to inform the patent and Trademark Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to the document AL listed on the attached form PTO 1449. A copy of the reference is provided herewith. This document was cited in the International Search Report attached herewith.

Identification of the listed document is not to be construed as an admission of Applicants or Attorneys for Applicants that such reference is available as "prior art" against the subject application.

Applicants respectfully requests that the Examiner review the foregoing document and that the document be made of record in the file history of the application.

Pursuant to 37 CFR § 1.97(b), since this Supplemental Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, Applicants believe no fee is due in connection with the filing of this Supplemental Information Disclosure Statement. However, should the Patent and Trademark Office determine otherwise, please charge the required fee to Deposit Account 03-4083.

Respectfully submitted,


James A. Jubinsky 42,700
(Reg. No.)

Cytec Industries Inc.
Patent Law Department
1937 West Main Street / P.O. Box 60
Stamford, CT 06904-0060
Tel: (203) 321-2913 / Fax: (203) 321-2971

N:\CASEFILE\00014-00\Supplemental IDS.doc



CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): R. Gupta, H. Singh, R. Cappadona, M. Paterna, A. Wagne

Docket No.

00014-00 (318-332)

Serial No.

09/698,332

Filing Date

October 30, 2000

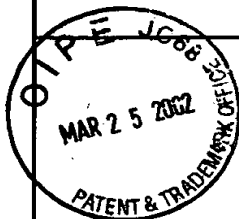
Examiner

Not Yet Known

Group Art Unit

1714

Invention: Non-Yellowing Ortho-Dialkyl Aryl Substituted Triazine Ultraviolet Light Absorbers

I hereby certify that this Supplemental Information Disclosure Statement Under 37 C.F.R. § 1.56 and § 1.97
(Identify type of correspondence)is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The
Assistant Commissioner for Patents, Washington, D.C. 20231 on March 15, 2002
(Date)Erika Edwards

(Typed or Printed Name of Person Mailing Correspondence)

A handwritten signature in cursive script, appearing to read "Erika Edwards".
(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
00014-00 (318-332)

In Re Application Of: **Ram B. Gupta, Hargurpreet Singh, Russell C. Cappadona, Mark Paterna, Al Wagner**

Serial No.
09/698,368

Filing Date
October 30, 2000

Examiner
Not Yet Known

Group Art Unit
1714

Title: **NON-YELLOWING ORTHO-DIALKYL ARYL SUBSTITUTED TRIAZINE ULTRAVIOLET
LIGHT ABSORBERS**

Address to:

**Assistant Commissioner for Patents
Washington, D.C. 20231**

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
00014-00 (318-332)

In Re Application: **Ram B. Gupta, Hargurpreet Singh, Russell C. Cappadona, Mark Paterna, Al Wagner**

Serial No.
09/698,368

Filing Date
October 30, 2000

Examiner
Not Yet Known

Group Art Unit
1714

**NON-YELLOWING ORTHO-DIALKYL ARYL SUBSTITUTED TRIAZINE ULTRAVIOLET
LIGHT ABSORBERS**

MAR 25 2002

PATENT & TRADEMARK OFFICE

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-4083 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

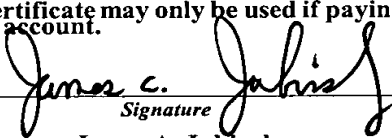
I certify that this document and fee is being deposited **March 15, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Signature of Person Mailing Correspondence

Erika Edwards

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.


Signature
James A. Jubinsky
Reg. No. 42,700

Dated: March 15, 2002

Cytec Industries Inc.
Patent Department
1937 W. Main Street / P.O. Box 60
Stamford, CT 06904-0060
Tel: (203) 321-2925 Fax: (203) 321-2971

CC: